

#### Library of Congress Recommended Formats Statement 2022-2023

For online version, see Recommended Formats Statement - 2022-2023 (link)

Introduction to the 2022-2023 revision	3
I. Textual Works	5
i. Textual Works – Print (books, etc.)	5
ii. Textual Works – Digital	7
iii. Textual Works – Electronic serials	9
II. Still Image Works	
i. Photographs – Print	
ii. Photographs – Digital	13
iii. Other Graphic Images – Print (posters, postcards, fine prints)	14
iv. Other Graphic Images – Digital	
v. Microforms	
III. Moving Image Works	18
i. Motion Pictures – Digital and Physical Media	
ii. Video – File Based and Physical Media	
IV. Audio Works	21
i. Audio – On Tangible Media (digital and analog)	
ii. Audio – Media-independent (digital)	
V. Musical Scores	
i. Musical Scores – Print	24
ii. Musical Scores – Digital	26
VI. Datasets	28
i. Datasets	28
ii. Databases	30
VII. GIS, Geospatial and Non-GIS Cartographic	31
i. Geographic Information System (GIS) – Vector Data	31
ii. GIS Vector and Raster Combined	31
iii. GIS Raster and Georeferenced Images	33

iv	v. Non-GIS Cartographic	33
VIII	I. Design and 3D	35
i.	2D and 3D Computer Aided Design	35
ii.	. Design (schematics, architectural drawings) - Print	37
iii	i. Scanned 3D Objects (output from photogrammetry scanning)	38
IX.	Software and Video Games	39
Χ.	Web Archives	42
XI.		

#### Introduction to the 2022-2023 revision

The success of the Recommended Formats Statement (RFS) since it was first launched in 2014 and the way in which it has become such an important tool for the community has encouraged the Library to take the opportunity to look more closely at it for this edition of the RFS. The Library has moved beyond the standard review process which it has undertaken annually in order to the keep the RFS current and relevant. Over the course of the past year, the Library has engaged in a more thorough examination, both of the organizational structure of the RFS and of the processes through which each year's version is revised. This has resulted in the addition of Email as the latest category in the RFS, along with significant updates to the Datasets category.

Underpinning these iterative changes has been the establishment of a new internal model, which the Library has used in this instance to assess the digital file formats in the RFS. This model is based on the conceptual framework of Levels of Service. The Levels of Service concept helps define the degree to which the Library can manage specific formats throughout the lifecycle by considering both global/community criteria and local/institutional criteria. This allows for a more structured and transparent analysis of the file formats and a clear record of that analysis in a matrix workbook with each content category on a separate worksheet.

The global/community criteria for digital file formats have been based on the <u>seven sustainability factors</u> developed for the Library's Sustainability of Digital Formats website: Disclosure, Adoption, Transparency, Self-documentation, External dependencies, Impact of patents and Technical protection mechanisms. Each of these factors may have different emphasis or importance depending on the community of practice and content type. Some may not be applicable or essential for every format. The local/institutional factors estimate the level of resources at The Library of Congress available to preserve and manage the digital file formats over time. These include Staff experience and expertise, Software/Hardware/Operating System availability (including appropriate number of licenses), Representation/extent in LC collections/storage and Established workflow/functionality. The use of this evaluation model has enabled the Library to sharpen and focus its analysis of the digital file formats in the Recommended Formats Statement. In providing a consistent review structure across all content categories, it now serves as a means to document improvements over the years as well as identify gaps that need to be filled.

Overall, the analysis has allowed us to establish clearer definitions of 'Preferred' and 'Acceptable' when categorizing digital file formats in the RFS:

#### Preferred formats:

- Global/community: Meets or exceeds benchmarks for all relevant sustainability factors
- Local/institutional: The Library of Congress has the skills, experience, workflows, tools and systems to manage and preserve these formats in current systems with confidence.

#### Acceptable formats:

- Global/community: Meets minimum acceptability across benchmarks or does not meet all relevant sustainability factors.
- Local/institutional: The Library of Congress can manage this format at a basic level of acquisition, management and preservation; and a greater ability for management and preservation is within the Library's capacity with further investment.

Page 3 Introduction

The success in using this model in evaluating and assessing the digital file formats in the Recommended Formats Statement opens the possibility of adapting it to apply to those other characteristics of creative works, both physical and digital, which the RFS covers in its remit to address all types of creative works. The Recommended Formats Statement is not intended to serve as an answer to all the questions raised in preserving and providing long-term access to creative content. It does not provide instructions for receiving material into repositories, managing that content or undertaking the many ongoing tasks which will be necessary to maintain this content so that it may be used well into the future. Tackling each of those aspects is a project in and of itself as each form of content has a unique set of facets and nuances. The RFS provides guidance on identifying sets of formats which are not drawn so narrowly as to discourage creators from working within them, but will instead encourage creators to use them to produce works in formats which will make preserving them and making them accessible simpler. The Library hopes that the RFS will help make it realistic to build, grow and save creative output for our individual and collective benefit for generations to come.

The Library of Congress, realizing its unique position, is pleased to be able to contribute a resource like the Recommended Formats Statement for the benefit of all involved with creative works. The commitment of time and resources to the ongoing revision and indeed improvement of the RFS reflects the priority the Library places on working collaboratively to ensure that all might succeed in our common goal to share and disseminate creative output and to benefit the nation and the world at large.

Page 4 Introduction

#### I. Textual Works

NOTE: See also Musical Scores

i. Text	ual Works – Print (bo	ooks, etc.)
		Preferred Acceptable
A.	Paper	1. Archival quality paper (ISO 11108: 1996 for Archival Paper)
B.	Printing Process, in	Lithography (offset printing press)
	order of preference	2. Electrophotography (digital press)
	•	Inkjet (inkjet printer using stable pigment or dye-based inks)
C.	Binding and	1. Slip-cased, if available
	Packaging	2. Binding, in descending order of preference:
		a. Hard cover
		i. Library binding (NISO Z39.78-2000) ii. Sewn
		iii. Glued only
		b. Soft cover
		i. Sewn
		ii. Glued only
		iii. Spiral- or plastic-bound
		iv. Stapled
		c. Loose-leaf (including all binders and indexes
		published as part of the deposit and offered for sale
	-	and distribution)
D.	Size	Larger-sized editions (Note: large-type editions are not      referred every editions with programming lains to referred.)
		preferred over editions with conventional size typefaces)  2. For broadsides and musical compositions, the Library prefers
		items:
		a. In protective folders
		b. Rolled (rather than folded)
E.	Rarity, Special	Limited editions (including those with special binding or
	Features,	special features)
	Illustrations	2. Editions with the greatest number of unique features (such as
		pop-ups, overlaps, magnifiers, overlays, tabs, notches, etc.)
		3. Illustrated editions; original color illustrations preferred over
		black and white reproductions

Page 5 I. Textual Works

i. Textual Works – Print (books, etc.)  F. Completeness  1. Complete work. For items published in a finite number of separate components, all elements published as part of the work and offered for sale or distribution must be submitted.  2. All updates, supplements, releases, and supersessions published as part of the work and offered for sale or distribution must be submitted. Insertions (including all binders and indexes) must be received in a regular and timely manner for proper maintenance of the deposit.  G. Metadata  1. As displayed on item:
a. Title b. Creator c. Creation Date or Start Date/End Date d. Place of Publication e. Publisher/Producer/Distributor f. ISBN 2. As displayed on item, if available: a. Other relevant identifiers (e.g., DOI, LCCN, etc.) b. Edition c. Subject descriptors d. Abstracts

Page 6 I. Textual Works

A. Technical Character encoding, in descending order of preference: Characteristics, in order of preference  B. Formats, in order of preference  B. Formats, in order of preference  B. Formats, in order of preference  Character encoding order of preference:  Character encoding order of preference:  Character encoding order of preference:  Character encodings not listed in Preference:  Character encodings action  Character encodings not listed in Preference:  Character encodings action  Character encodings action and explicitly decumented.)  Character encodings action action	ii. Textual Works – Digital		
Characteristics, in order of preference  B. Formats, in order of preference  B. Formats, in order of preference  B. Formats, in order of preference  Mini-based markup formats, with included or accessible DTD/Schema, X5D/X5L presentation stylesheet(s), and explicitly stated character encoding  a. PUB3-compliant. (Other versions of EPUB are also preferred formats but EPUB3 is the most common.)  b. BITs-fompliant (NLM Book DTD)  c. Other widely-used book DTDs/schemas (e.g., TEI, DocBook, etc.)  2. Page-layout formats  a. PDF/AQ (ISO 19289-1-compliant)  b. PDF/A (ISO 19289-1-compliant)  b. PDF/A (ISO 19289-1-compliant)  4. PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images, device-independent specification of colorspace, content tagging; includes document formats such as PDF/X)  B. DEF/X)  1. Other structured or markup formats  a. XHTML on HTMI, with DOCI declaration and presentatio stylesheet(s) in presentation stylesheet(s) in applicable. Includes of polycylosomy and polycylosomy and polycylosomy and polycylosomy and polycylosomy and polycylosomy and polyment or accessible DTD  d. Other XML-based domain stylesheet (s) in applicable. Included or accessible DTD  d. Other XML-based non-proprietary formats, with presentation stylesheet (s) in applicable included or accessible DTD  d. Other XML-based non-proprietary formats that use proprietary DTDs or schema with presentation stylesheet (s) in applicable included or accessible DTD  d. Other XML-based non-proprietary DTDs or schema with presentation stylesheet (s) in applicable includes or accessible DTD  d. Other XML-based formats that use proprietary DTDs or schema with presentation stylesheet (s) in applicable includes or accessible DTD  d. Other XML-based formats that use proprietary DTDs or schema with presentation stylesheet (s) in applicable includes or accessible DTD  d. Other XML-based pormats and DTDs or schema with presentation stylesheet (s) in applicable includes or accessibl	9	Preferred	Acceptable
as PDF/X)  proprietary formats, with presentation stylesheet(s)  e. XML-based formats that use proprietary DTDs or schema with presentation stylesheee  2. Page-layout formats  a. PDF (web-optimized)  3. Other formats  a. Rich text format (RTF)  b. Plain text  c. Widely-used proprietary wo processing formats	A. Technical Characteristics, in order of preference B. Formats, in order of	Character encoding, in descending order of preference:  1. UTF-8, UTF-16 (with BOM), US-ASCII 2. ISO 8859  XML-based markup formats, with included or accessible DTD/schema, XSD/XSL presentation stylesheet(s), and explicitly stated character encoding  a. EPUB3-compliant. (Other versions of EPUB are also preferred formats but EPUB3 is the most common.) b. BITS-compliant (NLM Book DTD) c. Other widely-used book DTDs/schemas (e.g., TEI, DocBook, etc.) 2. Page-layout formats a. PDF/UA (ISO 14289-1-compliant) b. PDF/A (ISO 19005-compliant) 4. PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images, device-independent specification of	Other character encodings not listed in Preferred section  1. Other structured or markup formats a. XHTML or HTML, with DOCTYPE declaration and presentation stylesheet(s) b. XML-based document formats (widely-used and publicly-documented), with presentation stylesheet(s) if applicable. Includes  DOCX/OOXML 2012 (ISO 29500), ODF (ISO/IEC 26300) and OOXML (ISO/IEC 29500). c. SGML, with included or accessible DTD
Features high resolution images)  Editions with the greatest number of unique features (such as	C. Rarity and Special Features	Limited editions (including those with special features such as high resolution images)	proprietary formats, with presentation stylesheet(s) e. XML-based formats that use proprietary DTDs or schemas, with presentation stylesheet(s)  2. Page-layout formats a. PDF (web-optimized)  3. Other formats a. Rich text format (RTF) b. Plain text c. Widely-used proprietary word-

Page 7 I. Textual Works

ii. Textual Works – Digital	
D. Completeness	<ul> <li>Complete work. For items published in a finite number of separate components, all elements published as part of the work and offered for sale or distribution must be submitted. Includes all associated external files and fonts considered integral to the publication.</li> <li>All updates, supplements, releases, and supersessions published as part of the work and offered for sale or distribution must be submitted and received in a regular and timely manner for proper maintenance of the deposit.</li> </ul>
E. Metadata	1. As supported by format (e.g., standards-based formats such as ONIX for Books, XMP, MODS, or MARCXML either embedded in or accompanying the digital item):  a. Title b. Creator c. Creation Date or Start Date/End Date d. Place of publication e. Publisher/ producer/ distributor f. ISBN g. Contact information 2. Include if available: a. Language of work b. Other relevant identifiers (e.g., DOI, LCCN, original URL, etc.) c. Edition d. Subject descriptors e. Abstracts
F. Technological Measures	Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.

Page 8 I. Textual Works

iii. Textual Works – Electronic	serials	
	Preferred	Acceptable
A. Technical Characteristics, in order of preference	Character encoding, in descending order of preference:     a. UTF-8, UTF-16 (with BOM), US-ASCII     b. ISO 8859	Other character encodings not listed in Preferred section
B. Formats, in order of preference	<ol> <li>Content compliant with the NISO JATS: Journal Article Tag Suite (ANSI/NISO Z39.96-2015) with XSD/XSL presentation stylesheet(s) and explicitly stated character encoding</li> <li>Page-layout formats         <ol> <li>PDF/UA (ISO 14289-1-compliant)</li> <li>PDF/A (ISO 19005-compliant)</li> <li>PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images, device-independent specification of colorspace; content tagging; includes document formats such as PDF/X)</li> </ol> </li> </ol>	<ol> <li>Other structured or markup formats:         <ul> <li>Widely-used serials or journal non-proprietary XML-based DTDs/schemas with included or accessible DTD/schema, presentation stylesheet(s) and explicitly stated character encoding.</li> <li>Proprietary XML-based format for serials or journals (with documentation) with DTD/schema and presentation stylesheet(s)</li> <li>XHTML or HTML, with DOCTYPE declaration and presentation stylesheet(s)</li> <li>XML-based document formats (widely used and publicly documented). With presentation stylesheets, if applicable. Includes DOCX/OOXML 2012 (ISO 29500), ODF (ISO/IEC 26300) and OOXML (ISO/IEC 29500).</li> </ul> </li> <li>Page-layout formats         <ul> <li>PDF (web-optimized with searchable text)</li> </ul> </li> <li>Other formats         <ul> <li>Rich text format</li> <li>Plain text</li> </ul> </li> </ol>

Page 9 I. Textual Works

iii. Textual Works – Electroni	c serials	
		<ul> <li>c. Widely-used proprietary word processing or page-layout formats</li> <li>d. Other text- or graphic-based formats not listed here that represent textual works</li> </ul>
C. Completeness	<ul> <li>Complete work. All elements considered integral to the publication and offered for sale or distribution must be submitted – e.g., articles, table(s) of contents, front matter, back matter, etc. Includes all associated external files and fonts considered integral to the publication.</li> <li>All updates, supplements, releases, and supersessions published as part of the work and offered for sale or distribution must be submitted and received in a regular and timely manner for proper maintenance of the deposit.</li> </ul>	
D. Metadata	1. Title-level metadata (e.g., standards-based formats such as ONIX for Books, XMP, MODS, or MARCXML either embedded in or accompanying the digital item):  a. Serial or journal title  b. ISSN and ISSN-L  c. Publisher  d. Frequency  e. Place of publication  2. Article-level metadata as relevant or applicable (e.g., standards-based formats such as ONIX for Books, XMP, MODS, or MARCXML either embedded in or accompanying the digital item):  a. Volume(s)  b. Number(s)  c. Issue date(s)  d. Article title(s)	

Page 10 I. Textual Works

iii. Textual Works – Electronic	serials	
	e. Article author(s) f. Article identifier (DOI, original URL, etc.) 3. Include if available: a. Other descriptive metadata (e.g., subject heading(s), descriptor(s), abstract(s))	
E. Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.</li> </ul>	

Page 11 I. Textual Works

#### II. Still Image Works

i. Photographs – Print		
	Preferred	Acceptable
A. Faithful representation of the work	Equal in quality to the publication version, best edition or master copy	
B. Permanence and appearance	<ul> <li>Unmounted</li> <li>Pigmented inks (if digitally printed)</li> <li>Fixed, well-washed (if wet chemistry method)</li> </ul>	
C. Size	<ul><li>Min: 8 x10"</li><li>Max: 28 x 36"</li></ul>	Larger sizes may be acceptable if best or only version.
D. Metadata	1. As supported by format:  a. Title  b. Creator  c. Creation Date  d. Place of publication  e. Publisher/producer/distributor  f. Contact information  2. Include if available:  a. Language of work  b. Other relevant identifiers (e.g., DOI, LCCN, etc.)  c. Subject descriptors  d. Abstracts  e. Key or reference to each data field and technical production information (type of paper, how processed, publisher internal tracking numbers)	

Page 12 II. Still Image Works

ii. Pho	tographs – Digital		
		Preferred	Acceptable
A.	Faithful representation of the work	<ul> <li>Equal in quality to the published version, best edition or master copy</li> <li>In the same format as the master copy</li> </ul>	
В.	Technical Characteristics	<ul> <li>Highest resolution available, not rescaled or interpolated</li> <li>Highest bit depth available, 16 bits per channel if available</li> <li>Embedded color profile or specified color space used in published version</li> <li>Uncompressed</li> <li>Unlayered</li> </ul>	<ul> <li>Lossless compression or lower compression ratios</li> <li>Discrete wavelet transform (DWT) preferred to discrete cosine transform (DCT)</li> <li>Layered, if supported by preferred or acceptable format</li> </ul>
C.	Formats	<ul> <li>TIFF (*.tif)</li> <li>JPEG2000 (*.jp2)</li> <li>PNG (*.png)</li> <li>JPEG/JFIF (*.jpg)</li> <li>BMP (*.bmp)</li> </ul>	<ul> <li>Photoshop (*.psd, *.psb)</li> <li>JPEG2000 Part 2 (*.jpf, *.jpx)</li> <li>Digital Negative DNG (*.dng)</li> <li>Proprietary Camera Raw formats (*.nef, *.crw)</li> <li>GIF (*.gif)</li> </ul>
D.	Metadata	1. As supported by format:  a. Title  b. Creator  c. Creation Date  d. Place of publication  e. Publisher/producer/distributor  f. Contact information  2. Include if available:  a. Common embedded schema (e.g., IPTC)  b. Language of work  c. Other relevant identifiers (e.g., PLUS ID, DOI, LCCN, etc.)  d. Subject descriptors  e. Abstracts  f. Key or reference to each data field and technical production information (e.g. EXIF metadata from digital camera)	Metadata provided separately in external text of XML-based file

Page 13 II. Still Image Works

ii. Photographs – Digital			
E. Technological	•	Files must contain no measures (such as digital rights	
Measures	/	management technologies or encryption) that control	
	7	access to or prevent use of the digital work.	

iii. Other Graphic Images – Print (posters, postcards, fine prints)					
NOTE:	NOTE: See also Geospatial Cartographic and Design and 3D				
		Preferred	Acceptable		
A.	Faithful representation of the work	<ul> <li>Equal in quality to the publication version, best edition or master copy</li> </ul>			
В.	Permanence and appearance	<ul> <li>Packaging materials equivalent to published form (e.g., binding, box/packaging materials)</li> <li>If multiple versions available, provide the most widely distributed edition.</li> <li>If limited edition, provide an unnumbered but otherwise identical copy.</li> <li>For large items, provide rolled, unfolded.</li> </ul>			
C.	Related Materials	<ul> <li>Includes indexes, study guides or other matter if available</li> <li>Also includes annotations, accompanying tabular or textual matter or other interpretive aids</li> </ul>			
D.	Metadata	<ul> <li>As supported by format         <ul> <li>Title</li> <li>Creator</li> <li>Creation Date</li> <li>Place of Publication</li> <li>Publisher/producer/distributor</li> <li>Contact Information</li> </ul> </li> <li>Include if available:         <ul> <li>Language of work</li> <li>Other relevant identifiers (e.g., DOI, LCCN, etc.)</li> <li>Subject descriptors</li> <li>Abstracts</li> </ul> </li> </ul>			

Page 14 II. Still Image Works

iii. Other Graphic Images – Print (posters, postcards, fine prints)				
e.	Key or reference to each data field and technical			
	production information (type of paper, how			
	processed, publisher internal tracking numbers)			
YA				

<ul> <li>Uncompressed</li></ul>	_	Faithful annuagate Co.	Preferred Continue of the state	Acceptable
Characteristics  Highest bit depth available, 16 bits per channel if available Specified color space used in published version Uncompressed Unlayered  Layered, if supported by preferred of acceptable format  C. Formats (raster)  TIFF (*.tif) JPEG2000 (*.jp2) PNG (*.png) JPEG/JFIF (*.jpg) BMP (*.bmp)  Discrete wavelet transform (DWT) preferred to discrete cosine transform (DCT)  Layered, if supported by preferred of acceptable format  Photoshop (*.psd, *.psb) JPEG2000 Part 2 (*.jpf, *.jpx) MrSID (*.sid) Encapsulated Postscript (*.eps) Digital Negative DNG (*.dng) Proprietary Camera Raw formats	A.	•	master copy	
<ul> <li>JPEG2000 (*.jp2)</li> <li>PNG (*.png)</li> <li>MrSID (*.sid)</li> <li>Encapsulated Postscript (*.eps)</li> <li>BMP (*.bmp)</li> <li>Digital Negative DNG (*.dng)</li> <li>Proprietary Camera Raw formats</li> </ul>	B.		<ul> <li>Highest bit depth available, 16 bits per channel if available</li> <li>Specified color space used in published version</li> <li>Uncompressed</li> </ul>	<ul> <li>Discrete wavelet transform (DWT)     preferred to discrete cosine transform     (DCT)</li> <li>Layered, if supported by preferred or</li> </ul>
<u> </u>	C.	Formats (raster)	<ul> <li>JPEG2000 (*.jp2)</li> <li>PNG (*.png)</li> <li>JPEG/JFIF (*.jpg)</li> </ul>	<ul> <li>JPEG2000 Part 2 (*.jpf, *.jpx)</li> <li>MrSID (*.sid)</li> <li>Encapsulated Postscript (*.eps)</li> <li>Digital Negative DNG (*.dng)</li> </ul>

Page 15 II. Still Image Works

iv. Other Graphic Images – Dig	gital	
D. Formats (vector)	Scalable vector graphics (*.svg)	<ul> <li>Computer Graphics Metafile (CGM, WebCGM)</li> <li>Page-layout formats, e.g. PDF/UA (ISO 14289-1-compliant), PDF/A (ISO 19005-compliant), PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images; includes document formats such as PDF/X)</li> <li>Encapsulated Postscript (*.eps)</li> </ul>
E. Related Materials	<ul> <li>Includes indexes, study guides or other matter if available</li> <li>Also includes annotations, accompanying tabular or textual matter or other interpretive aids</li> </ul>	
G. Metadata	1. As supported by format:  a. Title  b. Creator  c. Creation Date  d. Place of publication  e. Publisher/producer/distributor  f. Contact information  2. Include if available:  a. Common embedded schema  b. Language of work  c. Other relevant identifiers (e.g., DOI, LCCN, etc.)  d. Subject descriptors  e. Abstracts  f. Key or reference to each data field and technical production information (e.g. EXIF metadata from digital camera)	Metadata provided separately in external text or XML-based file
H. Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.</li> </ul>	

Page 16 II. Still Image Works

v. Mic	v. Microforms			
		Preferred	Acceptable	
A.	Faithful representation of the work	Equal in quality to the publication version, best edition or master copy		
В.	Permanence and appearance	<ul> <li>Silver halide</li> <li>Positive polarity</li> <li>Color (when available)</li> <li>Polyester film base</li> </ul>		
C.	Format (newspapers and newspaper-formatted serials)	Roll microfilm		
D.	Format (all other materials), in order of preference	<ol> <li>Microfiche</li> <li>Roll microfilm</li> <li>Microfilm cassettes</li> <li>Micro-opaque prints</li> </ol>		
E.	Size	35mm, if roll film	16mm film and other sizes that match the primary production master	
F.	Related Materials	Include indexes, study guides or other printed matter if available		
G.	Metadata	<ul> <li>As supported by format         <ul> <li>Title</li> <li>Creator</li> <li>Creation Date</li> <li>Place of Publication</li> <li>Publisher/producer/distributor</li> <li>Contact Information</li> </ul> </li> <li>Include if available:         <ul> <li>Language of work</li> <li>Other relevant identifiers (e.g., DOI, LCCN, etc.)</li> <li>Subject descriptors</li> <li>Abstracts</li> <li>Key or reference to each data field and technical production information (type of paper, how processed, publisher internal tracking numbers)</li> </ul> </li> </ul>		

Page 17 II. Still Image Works

#### III. Moving Image Works

i. Mot	ion Pictures – Digital and	d Physical Media	
		Preferred	Acceptable
A.	Motion Pictures - Digital And Physical Media	<ul> <li>Complete final production/release version of motion picture work in the original production resolution, aspect ratio and frame rate</li> <li>Theatrical release version in original gauge (e.g., 70mm, 35mm, 16mm)</li> <li>Unencrypted interop <u>Digital Cinema Package</u> (DCP) with the following characteristics:         <ul> <li>a. 24- or 48-frame progressive scan</li> <li>b. Minimum projector resolution of 2048 by 1080 pixels</li> <li>c. Image source compression (if used) conforming to ISO/IEC 15444-1 (<u>IPEG2000</u>)</li> <li>d. Image and sound files packaged as either SMPTE or Interop DCPs</li> <li>e. DCP formats (SMPTE ST429-2 and related specifications)</li> </ul> </li> <li>Contact archive for guidance regarding master materials (<u>DCDM</u>, DSM, camera original negatives, etc.)</li> </ul>	Commercially pressed DVD or Blu-ray disc
В.	Audio	Complete final tracks, including any foreign language tracks and descriptive audio, when applicable	Each language and mix for the final production version shall be in its original channel structure and audio resolution as it was delivered to the content distributor
C.	Metadata	<ol> <li>Relevant unique identifiers applicable to the work (EIDR, ISAN)</li> <li>If unique identifier not available, then         <ul> <li>a) Release title</li> <li>b) Release/Production Date</li> <li>c) Production Company and/or Producer</li> <li>d) Distributor Name</li> <li>e) Country of Origin</li> <li>f) Language</li> <li>g) Duration</li> </ul> </li> </ol>	

Page 18 III. Moving Image Works

i. Motion Pictures – Digital and Physical Media		
D. Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.</li> </ul>	

.ii. Vid	ii. Video – File Based and Physical Media				
		Preferred	Acceptable		
A	Video – File-based, in order of preference	Final production version with the original production resolution and frame rate (i.e. 1080p24; 720p60, etc.) and file-based format that was delivered to the content distributor.  1. Interoperable Master Format (IMF) consisting of	Acceptable  FFV1 (version 3) in Matroska (.mkv) container only for content without closed captions and/or timecode information.  Viewing proxy such as  a) Recordable DVD  b) Recordable Blu-ray disc  c) MPEG-4 (.mp4)		
		Contact archive for guidance regarding pre-production versions.			

Page 19 III. Moving Image Works

ii. Video – File Based and Phys	sical Media	
B. Video – Physical Media, in order of preference		<ol> <li>Complete, final production version with the original production resolution and frame rate (i.e. 1080p24; 720p60, etc.)</li> <li>Content contained in standard physical media in the following order of preference:         <ul> <li>a. HD: HDCAM-SR, HDCAM, HD-D5, Commercially pressed DVD or Blu-ray disc</li> <li>b. SD: Digital Betacam, Betacam SP</li> </ul> </li> </ol>
C. Audio		Each language and mix for the final production version shall be in its original channel structure and audio resolution as it was delivered to the content distributor
D. Metadata	<ol> <li>Relevant unique identifiers applicable to the work (EIDR, ISAN)</li> <li>If unique identifier not available, then:         <ul> <li>a) Release title</li> <li>b) Release/Production Date</li> <li>c) Production Company and/or Producer</li> <li>d) Distributor Name</li> <li>e) Country of Origin</li> <li>f) Language</li> <li>g) Duration</li> </ul> </li> </ol>	
E. Technological Measures	Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.	

Page 20 III. Moving Image Works

#### IV. Audio Works

i. Audio – On Tangible Media (d	igital and analog)	
	Preferred	Acceptable
Sound Recordings, in order of preference	<ol> <li>Final production/release version of content rather than preproduction version</li> <li>Published Compact Disc (CD audio) rather than Recordable Compact Disc (CD-R, audio format)</li> <li>With all jackets, sleeves, enclosures and inserts rather than without</li> <li>Stereophonic if originally recorded/released as stereophonic Monaural if originally recorded/released as monaural</li> <li>Direct Stream Digital (DSD) or other multi-channel (e.g. Surround Sound) version in addition to stereophonic version if released in both</li> <li>Vinyl disc (LP) in addition to Compact Disc (CD) if released in both</li> </ol>	<ol> <li>Recordable Compact Disc (CD-R) rather than vinyl disc</li> <li>Vinyl disc rather than audio cassette</li> <li>Audio cassette if only released as such</li> </ol>

Page 21 IV. Audio Works

ii. Audio – Media-independent (digital)			
	Preferred	Acceptable	
A. Audio, in order of preference	<ol> <li>Final production /release version of content rather than pre-production version</li> <li>Highest native resolution PCM WAVE file of final version produced (44.1 kHz / 16 bit or higher) in addition to Compact Disc (CD) when both are produced</li> <li>WAVE file with embedded metadata (Broadcast WAVE) rather than without (LC will specify fields)</li> <li>File in native resolution rather than up-sampled resolution</li> <li>Very high resolution file formats such as DSD, PCM 176.4khz, 192khz up to 384kh when produced for release in addition to Compact Disc (CD) when both are produced</li> <li>DSD, in the released version (e.g., surround-sound or stereo)</li> <li>Uncompressed files rather than compressed.</li> <li>Compressed version in a major standard compression scheme rather than non-standard scheme</li> </ol>	Highest resolution compressed version in a major standard compression scheme     Lossless compression scheme rather than lossy compression scheme	
B. Accompanying Image/Text Files, in order of preference	With final version of all accompanying image and text files; higher resolution images rather than lower     a. <u>TIFF</u> or <u>JPEG</u> formats for images     b. Text files in <u>PDF</u>	1.	
C. Metadata	1. Provide most complete metadata set as delivered to online distributors (e.g. iTunes and Amazon), which may include elements not embedded in a file, including but not limited to:  a. Song/work title  b. Album title  c. Artist  d. Composer  e. Genre  f. Publisher/label name and issue number  g. Location and date of performance		

Page 22 IV. Audio Works

ii. Audio – Media-independent (dig	ital)	
	<ul> <li>h. Date of publication</li> <li>i. Standard identifier (e.g. ISRC, UPC)</li> <li>j. Any other entity identifiers</li> <li>2. Provide data in a standard XML-based format, such as the Electronic Release Notification (ERN-DDEX)</li> <li>3. RSS feeds are desirable for podcasts</li> </ul>	
D. Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.</li> </ul>	

Page 23 IV. Audio Works

#### V. Musical Scores

NOTE: See also Textual Works - Print

i Mus	ical Scores – Print		
i. ivias	icar scores Trine	Preferred	Acceptable
A.	Paper	Archival quality paper (ISO 11108: 1996 for Archival Paper)	
В.	Printing Process, in order of preference	<ul> <li>Lithography (offset printing press)</li> <li>Electrophotography (digital press)</li> <li>Inkjet (inkjet printer using stable pigment or dye-based inks)</li> </ul>	
C.	Binding and Packaging	<ul> <li>Slip-cased, if available</li> <li>Binding, in descending order of preference:         <ul> <li>a. Hard cover</li> <li>i. Library binding (NISO Z39.78-2000)</li> <li>ii. Sewn</li> <li>iii. Glued only</li> </ul> </li> <li>b. Soft cover         <ul> <li>i. Sewn</li> <li>ii. Glued only</li> <li>iii. Glued only</li> <li>iii. Spiral- or plastic-bound</li> <li>iv. Stapled</li> </ul> </li> <li>Loose-leaf (including all binders and indexes published as part of the deposit and offered for sale and distribution)</li> </ul>	
D.	Size	<ul> <li>Larger-sized editions (Note: large-type editions are not preferred over editions with conventional size typefaces)</li> <li>For broadsides and musical compositions, the Library prefers items:         <ul> <li>In protective folders</li> <li>Rolled (rather folded)</li> </ul> </li> </ul>	
E.	Rarity, Special Features, Illustrations	<ul> <li>Limited editions (including those with special binding or special features)</li> </ul>	

Page 24 V. Musical Scores

i. Musical Scores – Print	
	Editions with the greatest number of unique features (such
	as pop-ups, overlaps, magnifiers, overlays, tabs, notches,
	etc.)
	Illustrated editions; original color illustrations preferred over black
	and white reproductions
F. Completeness	Complete work. For items published in a finite number of
	separate components, all elements published as part of
	the work and offered for sale or distribution must be
	submitted.
	All updates, supplements, releases, and supersessions
	published as part of the work and offered for sale or
	distribution must be submitted. Insertions (including all
	binders and indexes) must be received in a regular and
	timely manner for proper maintenance of the deposit.
	For unaccompanied vocal musical compositions: open
	score, with each part on separate staff
	For vocal musical compositions with orchestral
	accompaniment and for instrumental musical
	compositions:
	a) Full score and up to 13 parts, if applicable, if
	published only by rental, lease, or lending, submit
	full score only
	b) Conductor's score and up to 13 parts, if applicable; if published only by rental, lease, or
	lending, submit conductor's score only
	lending, submit conductor's score only
G. Metadata	1. As displayed on item:
	e. Title
	f. Creator
	g. Creation Date or Start Date/End Date
	h. Place of Publication
	i. Publisher/Producer/Distributor
	h. Place of Publication i. Publisher/Producer/Distributor j. ISBN
	2. As displayed on item, if available:
	a. Other relevant identifiers (e.g., DOI, LCCN, etc.)

Page 25 V. Musical Scores

i. Musical Scores – Print			
	b.	Edition	
	c.	Subject descriptors	
	d.	Abstracts	

ii. Mu	sical Scores – Digital		
	Ğ	Preferred	Acceptable
H.	Technical Characteristics, in order of preference	<ol> <li>Character Encoding, in descending order of preference:</li> <li>a. UTF-8, UTF-16 (with BOM), US-ASCII</li> <li>b. ISO 8859</li> </ol>	Other character encodings not listed in Preferred section
I.	Formats, in order of preference	1. XML-based markup music notational format, with included or accessible DTD/schema, XSD/XSL presentation stylesheet(s), and explicitly stated character encoding.  a. MusicXML  b. Music Encoding Initiative (MEI)  c. Other widely-used and publicly documented musical notation DTDs/schemas  2. Page-layout formats  a. PDF/UA (ISO 14289-1-compliant)  b. PDF/A (ISO 19005-compliant)  c. PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images; includes document formats such as PDF/X)	1. Other structured or markup formats  a. XHTML or HTML, with DOCTYPE declaration and presentation stylesheet(s)  b. SGML, with included or accessible DTD  2. Page-layout formats  a. PDF (web-optimized)  3. Other formats  a. Widely-used proprietary music notation formats  b. Other music composition formats (including graphics-based formats) not listed here
J.	Rarity and Special Features	<ul> <li>Limited editions (including those with special features)</li> <li>Editions with the greatest number of unique features (such as additional content, multimedia, interactive elements, etc.)</li> </ul>	
K.	Completeness	<ul> <li>Complete work. For items published in a finite number of separate components, all elements published as part of the work and offered for sale or distribution must be submitted. Includes all associated external files and fonts considered integral to the publication.</li> <li>All updates, supplements, releases, and supersessions published as part of the work and offered for sale or</li> </ul>	

Page 26 V. Musical Scores

ii. Musical Scores – Digital	
	distribution must be submitted and received in a regular
	and timely manner for proper maintenance of the deposit.
	For unaccompanied vocal musical compositions: open
	score, with each part on separate staff
	For vocal musical compositions with orchestral
	accompaniment and for instrumental musical
· ·	compositions:
	a. Full score and all parts, if applicable; if published
	only by rental, lease, or lending, full score only
	may be submitted
	b. Conductor's score and all parts, if applicable; if
	published only by rental, lease, or lending,
	conductor's score only may be submitted
L. Metadata	As supported by format (e.g., standards-based formats
	such as ONIX for Books, XMP, MODS, or MARCXML either
	embedded in or accompanying the digital item):
	a. Title
	b. Creator
	c. Creation Date or Start Date/End Date
	d. Place of publication
	e. Publisher/ producer/ distributor
	f. ISMN
	g. Instrumentation
	2. Include if available:
	a. Language of work
	b. Other relevant identifiers (e.g., ISBN, DOI, LCCN,
	original URL, etc.)
	c. Edition
	d. Subject descriptors
	e. Event
	f. Abstracts
M. Technological	Files must contain no measures (such as digital rights
Measures	management technologies or encryption) that control access to
	or prevent use of the digital work.

ii. Musical Scores - Digital

#### VI. Datasets

NOTE: See also Geospatial and Cartographic

The Library is aware that, in some cases, the provision of datasets and databases for current research uses (including support for the U.S. Congress) may depend upon native formats and associated software, while preservation and long-term access may depend upon data-migration via transport or export formats, with a concomitant risk of loss of precision and accuracy. Given the focus of this document is preservation and long-term access, the following format preferences favor those outcomes.

i. Data	asets		
		Preferred	Acceptable
i.	Formats	<ul> <li>Platform-independent, character-based formats are preferred over native or binary formats as long as data is complete, and retains full detail and precision. Preferred formats include well-developed, widely adopted, de facto marketplace standards, e.g.         <ul> <li>a. Formats using well known schemas with public validation tools available</li> <li>b. Line-oriented, e.g. TSV, CSV, fixed-width</li> <li>c. Platform-independent open formats, e.gdb, .db3, .sqlite, .sqlite3,</li> </ul> </li> <li>Any proprietary format that is a de facto standard for a profession or supported by multiple tools (e.g. Excel .xls or .xlsx, Shapefile)</li> <li>Character Encoding, in descending order of preference:         <ul> <li>a. UTF-8, UTF-16 (with BOM)</li> <li>b. US-ASCII or ISO 8859-1</li> <li>c. Other named encoding</li> </ul> </li> </ul>	Text-based data formats with available schema
ii.	Related Materials	<ul> <li>Consult the appropriate sections of this document to identify the preferred formats for supplementary material</li> </ul>	
iii.	Delivery Method, in order of preference	<ol> <li>Public download URLs</li> <li>Automated private download URLs with any necessary API keys or credentials</li> </ol>	

Page 28 VI. Datasets

i. Dat	asets			
		3.	Hard drive; CD-ROM; DVD-ROM	
iv.	Metadata	1.	Deposits should include all applicable metadata, data	
			dictionaries, XML schemas, and technical specifications	
			as appropriate. Discipline-specific metadata standards	
			should be used whenever possible	
	· ·	2.	As supported by format:	
			a. Title	
			b. Creator	
			c. Creation date	
			d. Place of publication	
			e. Publisher/ producer/ distributor	
			f. Contact information	
			g. A list of software used to produce, render or	
			compress the data (if applicable)	
			h. Character encoding	
		3.	Include if available:	
			a. Language of work	
			b. Other relevant identifiers (e.g., DOI, LCCN,	
			canonical URL, etc.)	
			c. Subject descriptors	
			d. Abstracts	
			e. Key or reference to each data field	
			f. Checksums	
			g. Permanent version specifiers	
			h. Information about how the data was collected	
			and any sampling or post-processing which has	
			been applied	
			i. Known copyright terms, especially for datasets	
			which combine data from multiple sources	
		4.	For datasets serving as part of a database: proprietary	
			database package and version	
٧.	Technological Measur	es •	Files must contain no measures (such as digital rights	Files in formats which support executable
	-		management technologies or encryption) that control	code do not depend on embedded
			access to or prevent use of the digital work.	programs for purposes other than display

Page 29 VI. Datasets

i. Datasets			
	•	Files in formats which support linking or embedding	(e.g. search, filtering, etc.); the raw data is
		external resources (e.g. XML, JSON, Excel) should be self-	available without executing code.
		contained to remain useful in the event of external	
		service changes.	
	•	Files in formats which support executable code (e.g.	
		Excel) do not contain executable code.	

ii. Dat	abases		
		Preferred	Acceptable
A.	Preservation	<ul> <li>Complete set of the content contained within the database, conforming to preferred specifications in sec. VI.i-ii</li> </ul>	
В.	Access, in order of preference	Publisher web interface with     a. Comprehensive and user-friendly search and discovery     b. Counter compliant usage statistics     Delivered preservation content	Documented API

Page 30 VI. Datasets

#### VII. GIS, Geospatial and Non-GIS Cartographic

i.	Geographic Informatio	n System (GIS) – Vector Data	
		Preferred	Acceptable
A.	Formats	Most complete data (all layers, appendices), even if proprietary, with a preference for preserving the native format and projection of the data  Vector formats compatible with widely adopted GIS including,  Shapefile, which is comprised of at least a SHP, SHX, and DBF file and optionally a PRJ (highly recommended), XML (highly recommended), SBN, and/or SBX.  Esri File Geodatabase  OGC GeoPackage  GeoJSON (may have scalability issues)	• KML • GML
В.	Delivery Method, in order of preference	<ul> <li>Public download URLs</li> <li>Automated private download URLs with any necessary API keys or credentials</li> <li>Hard drives</li> </ul>	
C.	Metadata	<ul> <li>For metadata information see 191xx ISO standards and Federal Geographic Data Committee (FGDC)</li> <li>To the extent allowed by the underlying format, include available information about how the data was collected and any post-processing which has been applied</li> </ul>	Project and layer files (.mxd, .qgs, .lry) may be acquired with deposits of content data to assist in reviewing materials during deposit process
D.	Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.</li> </ul>	

ii. GIS Vector and Raster	ii. GIS Vector and Raster Combined			
	Preferred	Acceptable		
A. Formats	In order of preference:	TerraGo GeoPDF		
		Geospatial PDF		

iiC	GIS Vector and Raster (	Combined	
		1. Most complete data (all layers, appendices), even if	
		proprietary, with a preference for preserving the native	
		format and projection of the data	
		2. Vector and raster formats compatible with widely adopted	
		GIS including:	
	` 🗸	a) Esri File Geodatabase	
		b) OGC GeoPackage	
		c) Formats compatible with recommendations and	
		tools from geospatial open source and open data	
		communities, formats developed or endorsed by	
		the Open Geospatial Consortium (OGC), formats supported by well supported open source	
		software libraries such as GDAL, OGR and	
		GeoTools	
ВГ	Delivery Method, in	Public download URLs	-
	order of preference	Automated private download URLs with any necessary API	
	raci of preference	keys or credentials	
		3. Hard drives	
C. N	Metadata	For metadata information see 191xx ISO standards and	
		Federal Geographic Data Committee (FGDC)	
		To the extent allowed by the underlying format, include	
		available information about how the data was collected	
		and any post-processing which has been applied	
D. T	Technological	Files must contain no measures (such as digital rights	
N	Measures	management technologies or encryption) that control	
		access to or prevent use of the digital work.	
<u>-</u>			

iii.	iii. GIS Raster and Georeferenced Images			
		Preferred	Acceptable	
A.	Formats	<ul> <li>Most complete data (all layers, appendices), even if proprietary, with a preference for preserving the native format and projection of the data</li> <li>Raster formats compatible with widely adopted GIS including GeoTIFF</li> <li>OGC GeoPackage</li> </ul>	<ul> <li><u>TIFF</u> (.tif) files with accompanying <u>TIFF</u> <u>World File</u> (.tfw and .tifw)</li> <li><u>GML in JPEG 2000</u></li> </ul>	
В.	Delivery Method, in order of preference	<ol> <li>Public download URLs</li> <li>Automated private download URLs with any necessary API keys or credentials</li> <li>Hard drives</li> </ol>		
C.	Metadata	<ul> <li>For metadata information see 191xx ISO standards and Federal Geographic Data Committee (FGDC)</li> <li>To the extent allowed by the underlying format, include available information about how the data was collected and any post-processing which has been applied</li> </ul>		
D.	Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.</li> </ul>		

iv. Non-GIS Cartographic		
	Preferred	Acceptable
A. Cartographic materials, in order of preference	<ol> <li>Most complete data (including appendices) with a preference for preserving the native format and projection of the data</li> <li>Largest size</li> <li>Most widely distributed</li> <li>Follows recommended formats in Still Image Works (material is an image) or Textual Works (if material is primarily textual).</li> </ol>	

iv.	Non-GIS Cartographic	
В.		Equal in quality to the publication version, best edition or
	of the work	master copy
C.		Packaging materials equivalent to published form (e.g.,     binding, box/packaging materials)
	Appearance	If multiple versions available, provide the most widely
		distributed edition.
		If limited edition, provide an unnumbered but otherwise
		identical copy.
		For large items, provide rolled, unfolded.
		Prefer edition with protective coatings.
		Faithful representation of the work as published. Equal
		quality to publication version.
D.	Related Materials	Includes indexes, study guides or other matter if available
		Also includes annotations, accompanying tabular or textual
Ε.	Metadata	matter or other interpretive aids
E.	Metadata	As supported by format     a. Title
		b. Creator
		c. Creation Date
		d. Place of Publication
		e. Publisher/producer/distributor
		f. Contact Information
		g.
		Include if available:
		a. Language of work
		b. Other relevant identifiers (e.g., DOI, LCCN, etc.) c. Subject descriptors
		d. Abstracts
		e. Key or reference to each data field and technical
		production information (e.g. EXIF metadata from
		digital camera

#### VIII. Design and 3D

NOTE: See also Still Image Works

i. 2D and 3D Computer	Aided Design	
1. 2D and 3D computer /	Preferred	Acceptable
A. Formats (raster)	<ul> <li>TIFF (*.tif)</li> <li>JPEG2000 (*.jp2)</li> <li>PNG (*.png)</li> <li>JPEG/JFIF (*.jpg)</li> <li>Digital Negative DNG (*.dng)</li> <li>BMP (*.bmp)</li> <li>GIF (*.gif)</li> </ul>	<ul> <li>Photoshop (*.psd, *.psb)</li> <li>JPEG2000 Part 2 (*.jpf, *.jpx)</li> <li>Encapsulated Postscript (*.eps)</li> </ul>
B. Formats (vector)	<ul> <li>Scalable vector graphics (*.svg)</li> <li>AutoCAD Drawing Interchange Format (*.dxf)</li> <li>Shapefile</li> </ul>	<ul> <li>Computer Graphics Metafile (CGM, WebCGM)</li> <li>Extensible 3D (X3D)</li> <li>Non-proprietary formats endorsed as standards by a professional community or government agency, e.g. IFC, STEP</li> <li>Page-layout formats, e.g. PDF/UA (ISO 14289-1-compliant), PDF/A (ISO 19005-compliant), PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images; includes document formats such as PDF/X)</li> <li>Page-layout formats, e.g. PDF/UA (ISO 14289-1-compliant), PDF/A (ISO 19005-compliant), PDF (highest quality available, with features such as searchable text, embedded fonts, lossless compression, high resolution images, device-independent specification of colorspace, content</li> </ul>

Page 35 VIII. Design and 3D

i. 2D and 3D Computer	Aided Design	
		tagging; includes document formats such as <a href="PDF/X">PDF/X</a> )  • Encapsulated Postscript (*.eps)  • Proprietary vector formats
C. Technical Characteristics	<ul> <li>Highest resolution available, not rescaled or interpolated</li> <li>Highest bit depth available, 16 bits per channel if available</li> <li>Embedded color profile or specified color space used in published version</li> <li>Uncompressed</li> <li>Unlayered</li> </ul>	<ul> <li>Lossless compression or lower compression ratios</li> <li>Discrete wavelet transform (DWT) preferred to discrete cosine transform (DCT)</li> <li>Layered, if supported by preferred or acceptable format</li> </ul>
D. Related Materials	<ul> <li>Includes indexes, study guides or other matter if available</li> <li>Also includes annotations, accompanying tabular or textual matter or other interpretive aids</li> </ul>	
E. Delivery Method, in order of preference	<ul> <li>Public download URLs</li> <li>Automated private download URLs with any necessary API keys or credentials</li> <li>Hard drives</li> </ul>	
F. Metadata	3. As supported by format: g. Title h. Creator i. Creation Date j. Place of publication k. Publisher/producer/distributor l. Contact information 4. Include if available: g. Common embedded schema (e.g., IPTC, FGDC, ISO 19115) h. Language of work i. Other relevant identifiers (e.g., PLUS ID, DOI, LCCN, etc.) j. Subject descriptors k. Abstracts l. Key or reference to each data field and technical production information (e.g. EXIF metadata from digital camera)	Metadata provided separately in external text of XML-based file

Page 36 VIII. Design and 3D

i. 2D and 3D Computer Aided Design		
G. Technological	Files must contain no measures (such as digital rights	
Measures	management technologies or encryption) that control	
	access to or prevent use of the digital work.	

ii.	Design (schematics, ar	chitectural drawings) - Print	
NOTE: See also Still Image Works			
		Preferred	Acceptable
A.	Faithful representation of the work	<ul> <li>Equal in quality to the publication version, best edition or master copy</li> </ul>	
В.	Permanence and appearance	<ul> <li>Packaging materials equivalent to published form (e.g., binding, box/packaging materials)</li> <li>If multiple versions available, provide the most widely distributed edition.</li> <li>If limited edition, provide an unnumbered but otherwise identical copy.</li> <li>For large items, provide rolled, unfolded.</li> <li>Prefer edition with protective coatings.</li> <li>Faithful representation of the work as published. Equal quality to publication version.</li> </ul>	
C.	Related Materials	<ul> <li>Includes indexes, study guides or other matter if available</li> <li>Also includes annotations, accompanying tabular or textual matter or other interpretive aids</li> </ul>	
D.	Metadata	<ul> <li>As supported by format         <ul> <li>Title</li> <li>Creator</li> <li>Creation Date</li> <li>Place of Publication</li> <li>Publisher/producer/distributor</li> <li>Contact Information</li> </ul> </li> <li>Include if available:         <ul> <li>Language of work</li> <li>Other relevant identifiers (e.g., DOI, LCCN, etc.)</li> <li>Subject descriptors</li> </ul> </li> </ul>	

Page 37 VIII. Design and 3D

ii. Design (schematics, architectu	ral drawings) - Print
	i. Abstracts
	j. Key or reference to each data field and technical
	production information (type of paper, how
	processed, publisher internal tracking numbers)

iii.	Scanned 3D Objects (	output from photogrammetry scanning)	
111.	Scarnica 3D Objects	Preferred Processian metry seaming/	Acceptable
A.	Formats		<ul> <li>STL (STereoLithography)</li> <li>Reflectance Transformation Imaging (RTI)</li> <li>Polygon File Format (PLY)</li> <li>Wavefront (OBJ)</li> </ul>
В.	Related Materials	<ul> <li>Includes indexes, study guides or other matter if available</li> <li>Also includes annotations, accompanying tabular or textual matter or other interpretive aids</li> </ul>	
C.	Metadata	As supported by format     a. Title     b. Creator     c. Creation Date     d. Place of Publication     e. Publisher/producer/distributor     f. Contact Information      Include if available:         k. Language of work         l. Other relevant identifiers (e.g., DOI, LCCN, etc.)         m. Subject descriptors         n. Abstracts         o. Key or reference to each data field and technical production information (type of paper, how processed, publisher internal tracking numbers)	
D.	Technological Measures	Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.	

Page 38 VIII. Design and 3D

#### IX. Software and Video Games

This category includes software for Desktop, Mobile/Handheld and Video Gaming Systems. Note: Gaming Systems often require submission of the proprietary platform as well (e.g. Game console) or a virtual or emulated version of the proprietary platform that runs on a commonly available operating system.

i. Software and Video Games		
	Preferred	Acceptable
A. Content, in order of preference	<ol> <li>Uncompiled Source Code: A file-based copy of the source code from which the software was developed. Metadata that specifies which compiler was used to create the final code for commercial release—including the version number and build number of the compiler software—must be included. If the compiler is unique to the project or company (i.e. not commercially available), then a copy of compiler software in the specific version and build used to create this version of the software, along with specifications of the platform the compiler ran on, must be included in the submission. This submission should include the rights clearance for the Library to install and use the compiler to read and use the provided information.</li> <li>Gold master build (specific file types will vary depending on company producing build)</li> <li>Distribution file (e.g. ipa [Mac iOS], apk [Android], exe [Windows])</li> <li>Disk-based physical release of game/software content</li> </ol>	Hard drive/flash drive/writable disk of game/software content
B. Operating system	<ul> <li>Operating system: If not a commonly available OS, then a copy of the OS, submitted as a disk image, must be provided with the submission.</li> <li>NOTE: If there are different versions released at the same time for different operating system (e.g. for Mac, Windows and Xbox One), a submission will be required for each.</li> </ul>	
C. Platform	If a submission requires a stand-alone or proprietary platform (e.g. a gaming system or child's toy), then a	If a submission requires a stand-alone or proprietary platform (e.g. a gaming system or child's toy), and a virtual or emulated

Page 39 IX. Software and Video Games

i. Software and Video Games		
	virtual or emulated version of the proprietary platform is required with submission.	version of the proprietary platform is not available, a physical platform including controllers and power supply is acceptable.
D. Related materials	<ul> <li>With documentation and other accompanying material (e.g. instruction materials, errata, addenda, read me files).</li> <li>A copy of the electronic distribution file if the product was sold to the public as a downloadable file. Note: if the software was a part of a book publication (e.g. a software manual with accompanying discs), then a copy of the book must be submitted with the disc.</li> </ul>	
E. Delivery method	Direct File Submission: These submissions would require grouping in a submission package such as Baglt, Tape Archive (tar), or AXF object.	<ul> <li>Mass storage device: All of the requested information may be included as distinct files or may be grouped together using a method such as Baglt, Tape Archive (tar), or AXF object:         <ul> <li>Hard drive with USB (universal serial bus) interface</li> <li>CD-ROM disc</li> <li>Flash drive with USB interface</li> </ul> </li> </ul>
F. Metadata	<ol> <li>Metadata that specifies which compiler was used to create the final code for commercial release—including the version number and build number of the compiler software—must be included.</li> <li>As supported by format:         <ul> <li>a. Title</li> <li>b. Creator</li> <li>c. Creation date</li> <li>d. Place of publication</li> <li>e. Publisher/producer/distributor</li> <li>f. Contact information</li> <li>g. Production metadata such as credit, rights and files which are available at the time of production</li> </ul> </li> <li>Include if available:         <ul> <li>a. Language of work</li> </ul> </li> </ol>	

Page 40 IX. Software and Video Games

i. Software and Video Games	<ul> <li>b. Other relevant identifiers (e.g., UPCDOI, LCCN, etc.)</li> <li>c. Subject descriptors</li> <li>d. Abstracts</li> <li>e. Schema</li> </ul>	
G. Technological Measures	<ul> <li>Submissions of uncompiled source code must include the rights clearance for the Library to install and use the compiler to read and use the provided information.</li> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.)</li> </ul>	<ul> <li>A file containing digital rights         management technologies or encryption         which requires a stand-alone or         proprietary platform is accompanied by         a virtual or emulated version of the         proprietary platform or a physical         version of the platform. However, the         file cannot include a connection to a         remote server for DRM or encryption         services.</li> </ul>

Page 41 IX. Software and Video Games

#### X. Web Archives

This format specification covers the Library's preferred format for archived web content, as well as a preferred "format" for presentation of web content for archiving (in other words, best practices for content creators to help in creating preservation-friendly websites). The Library is aware that websites, including blogs, social media and other web content that make up websites, are presented and created in formats for viewing in a web browser, and are often different than the standard format that is recommended for preservation and long-term access. Given that the focus of this document is preservation and long-term access, the following format preferences favor those outcomes, and include recommendations for best practices to better enable preservation of web content.

i. Websites							
	Preferred	Acceptable					
A. Technical Characteristics	1. Website creators can improve the archivability of web content by following best practices such as:  a. Using sitemaps and stable URLs  b. Using open formats  c. Following accessibility standards, such as:  i. Section 508 (https://www.access-board.gov/guidelines-and-standards/communications-and-it/about-the-section-508-standards/guide-to-the-section-508-standards)  ii. Web Content Accessibility Guidelines (WCAG) (https://www.w3.org/WAI/intro/wcag)  iii. United States Web Design Standards (https://standards.usa.gov/)  d. Providing page specific titles and description, publication or update dates, and meaningful web addresses, when possible, to convey the substance of content presented  2. Resources that address this further and may be helpful to content creators can be found on the Library of Congress Guide to Creating Preservable Websites	Acceptable					
	(http://loc.gov/webarchiving/preservable.html)						

Page 42 X. Web Archiving

i. Web	sites			
В.	Formats	1.	The Library, and other organizations involved in web archiving, are preserving web content in the Web ARChive (WARC) format	<ul> <li>Internet Archive's ARC IA format, a precursor to the WARC format.</li> <li>Web Archive Collection Zipped (WACZ), as used in the Webrecorder project.</li> <li>CDX as a component file for WARC file content</li> </ul>
C.	Delivery Method	1.	Capture using tools that produce non-proprietary output, to conform with standard formats and requirements	Transmission of WARC or ARC IA files created by web content producers or other archiving organizations
D.	Metadata	1. 2.	Refer to the WARC ISO-standard specification for mandatory and recommended metadata fields When displaying archived content, the following should be clearly indicated:  a. archiving institution, b. dates and time of capture, c. statements about functionality within the archive to distinguish from the live site	The ARC IA should be named in a manner that easily identifies the archiving institution (see WARC standard for recommended naming conventions)
E.	Technological Measures	2.	Websites should not contain measures (such as content behind logins or only accessible through search functions) that control access to or prevent capture of the digital work. Robots.txt restrictions should be set so as not to block crawlers from capturing important content, such as image and style sheets, which allow for replay of the site as it looked at the time of capture.	Tools currently available cannot capture all web content, so certain types of web content may not be preservable through web capture at this time. These include:  a. Multi-media rich content b. Streaming media c. Deep web content d. Databases

Page 43 X. Web Archiving

#### XI. Email

i. Ema		Preferred	Acceptable
A.	Formats – Email Messages	No preferred formats at this time while the Library builds its capacity for email archiving.	For individual messages (as supported by client):  • EML • MSG • PDF  For aggregated groups of messages (e.g., entire inbox or folder, as supported by client): • PST • MBOX • PDF  Contact The Library of Congress for additional guidance.
В.	Formats - Attachments	Attachments and embedded data should remain in their original format.	, , ,
C.	Delivery Method	Contact The Library of Congress for guidance.	
D.	Metadata	For all email accounts:	
E.	Technological Measures	<ul> <li>Files must contain no measures (such as digital rights management technologies or encryption) that control access to or prevent use of the digital work.)</li> </ul>	

Page 44 XI. Email